

# Pecyn Dogfen Gyhoeddus

**Gareth Owens LL.B Barrister/Bargyfreithiwr**  
Chief Officer (Governance)  
Prif Swyddog (Llywodraethu)



Swyddog Cyswllt:  
Maureen Potter 01352 702322  
maureen.potter@flintshire.gov.uk

At: Cyng Rob Davies (Cadeirydd)

Y Cynghorwyr: Gillian Brockley, David Coggins Cogan, Steve Copple, Jean Davies, Ian Hodge, Alasdair Ibbotson, Paul Johnson, Gina Maddison, Roz Mansell, Ted Palmer, Michelle Perfect, Vicky Perfect, Linda Thew, Arnold Woolley a Antony Wren

Dydd Gwener, 23 Medi 2022

Annwyl Gynghorydd,

**RHYBUDD O GYFARFOD RHITHIOL**  
**PWYLLGOR Y CYFANSODDIAD A GWASANAETHAU DEMOCRATAIDD**  
**DYDD IAU, 29AIN MEDI, 2022 2.00 PM**

Yn gywir

Steven Goodrum  
Rheolwr Gwasanaethau Democraidd

Bydd y cyfarfod yn cael ei ffrydio'n fyw ar wefan y Cyngor. Bydd y ffrydio byw yn dod i ben pan fydd unrhyw eitemau cyfrinachol yn cael eu hystyried. Bydd recordiad o'r cyfarfod ar gael yn fuan ar ôl y cyfarfod ar <https://flintshire.publici.tv/core/portal/home>

Os oes gennych unrhyw ymholiadau, cysylltwch ag aelod o'r Tîm Gwasanaethau Democraidd ar 01352 702345.

## R H A G L E N

### 1 YMDDIHEURIADAU

**Pwrpas:** I dderbyn unrhyw ymddiheuriadau.

### 2 DATGAN CYSYLLTIAD (GAN GYNNWYS DATGANIADAU CHWIPIO)

**Pwrpas:** I dderbyn unrhyw ddatganiad o gysylltiad a chynghori'r Aelodau yn unol a hynny.

### 3 COFNODION (Tudalennau 3 - 8)

**Pwrpas:** I gadarnhau, fel cofnod cywir gofnodion y cyfarfod ar 7 Gorffennaf 2022.

### 4 DIWYGIADAU I GYFANSODDIAD CRONFA BENSIYNAU CLWYD (Tudalennau 9 - 42)

I adolygu a diweddarau'r Cynllun Dirprwyo ar gyfer Cronfa Bensiwn Clwyd

### 5 ADOLYGU TROTHWYON CAFFAEL AR GYFER DYFYNBRISIAU CYFLYM/DYFYNIADAU GAN GYFLENWR UNIGOL (Tudalennau 43 - 46)

Ceisio cymeradwyaeth i ddiwygio'r trothwyon presennol o £10k i £20k a osodwyd o fewn y Rheolau Gweithdrefn Contractau i fwrw ymlaen â phrynu nwyddau, gwasanaethau neu waith ar ôl derbyn un dyfynbris. Yn ogystal, caniatáu defnyddio ymarferoldeb Dyfynbrisiau Cyflym y system e-dendro Proactis ar gyfer dyfynbrisiau hyd at £20k, yn lle'r trothwy presennol o £10k.

### 6 CYLCH GORCHWYL TROSOLWG A CHRAFFU (Tudalennau 47 - 62)

Argymhell i'r Cyngor y diwygiadau arfaethedig i'r Cylch Gorchwyl ar gyfer bob un o'r Pwyllgorau Trosolwg a Chraffu

**Sylwch, efallai y bydd egwyl o 10 munud os yw'r cyfarfod yn para'n hirach na dwy awr.**

# Eitem ar gyfer y Rhaglen 3

## **CONSTITUTION AND DEMOCRATIC SERVICES COMMITTEE** **7 JULY 2022**

Minutes of the remote meeting of the Constitution and Democratic Services Committee of Flintshire County Council held on Thursday, 7 July 2022.

### **PRESENT: Councillor Robert Davies (Chairman)**

Councillors: Gillian Brockley, David Coggins Cogan, Steve Copple, Alasdair Ibbotson, Ian Hodge, Gina Maddison, Roz Mansell, Ted Palmer, Michelle Perfect, Vicky Perfect, Linda Thew and Antony Wren.

**SUBSTITUTION:** Councillor Linda Thomas (for Paul Johnson)

**IN ATTENDANCE:** Chief Officer (Governance), Head of Democratic Services and Democratic Services Officer

### **1. APPOINTMENT OF THE CHAIR**

The committee formally noted that following the Annual Meeting Councillor Rob Davies be appointed Chair of the Committee

### **2. APPOINTMENT OF VICE CHAIR**

The Chair asked committee members for nominations for Vice Chair of the Committee.

Councillor Michelle Perfect proposed that Councillor Gillian Brockley be appointed as Vice Chair and this was seconded by Councillor Vicky Perfect. Councillor Antony Wren proposed that Councillor Ian Hodge be nominated as Vice Chair and this was seconded by Councillor Roz Mansell

Following a vote for both Councillors, the Chief Officer (Governance) confirmed that Councillor Gillian Brockley was elected as Vice Chair of the Committee

### **RESOLVED:**

That the Councillor Gillian Brockley be appointed as Vice Chair of the Committee

### **3. DECLARATIONS OF INTEREST**

No Declarations were received.

#### **4. MINUTES**

The minutes of the meeting held on 26 January were submitted.

The minutes were moved as a correct record by Councillor Ted Palmer and seconded by Councillor Antony Wren

#### **RESOLVED:**

That the minutes be approved as a correct record and signed by the Chairman.

#### **5. REVIEW OF THE TERMS OF REFERENCE AND INTRODUCTION TO THE WORK OF THE COMMITTEE**

In presenting the report the Chief Officer (Governance) provided background information on the formation of the Constitution & Democratic Services Committee, which were originally the Constitution Committee and Democratic Services Committee. The Committee was the guardian of the Constitution, drafting and vetting changes to the Constitution and considered the Overview & Scrutiny Annual Report and changes made by the Overview & Scrutiny and other Committees prior to County Council. Other committees, such as the Standards Committee and the Audit & Governance Committee, regularly review parts of the Constitution and make recommendations for this Committee to scrutinise prior to adoption by Full Council.

The Chief Officer (Governance) and Democratic Services Manager commenced the presentation which included slides on the following:-

- Purpose of the session
- The Constitution and Democratic Services Committee
- Committee Membership
- Committee Functions 1
  - Reviews of Member Development Training
  - Considers recommendations from the IRPW
  - Issues relating to the support of Members
  - Co-ordination of the work programmes of the five Overview & Scrutiny Committees
- Committee Functions 2
  - Designates the post to be statutory Head of Democratic Services
  - Reviews and report on fulfilment of “Democratic Services functions”
  - Makes decisions on a member’s entitlement to family absence under relevant regulations
- Committee workings
- Forward Work Programme

The Chief Officer (Governance) commented that the Terms of Reference for this Committee was attached on the agenda for information.

## **RESOLVED:**

That the work of the Committee is noted.

### **4. ADOPTION OF THE NATIONAL MODEL CONSTITUTION**

In presenting this report the Chief Officer (Governance) explained that prior to the Local Government Act 2000, local authorities were not required to have a Constitution document. He provided background information on how the National Model was prepared in 2014 which had enabled a standard Constitution to be used across all authorities. Following the introduction of the Local Government and Elections Wales Act 2021 local authorities were required to offer a plain language summary of the Constitution. He explained that a firm of solicitors had been instructed to update the national model before supplying a plain language guide. This had been funded by the Welsh Local Government Association (WLGA). A list of changes was also provided for local authorities to consider and apply to their own constitution if it differed from the national model.

The Chief Officer (Governance) suggested that a working group of 5 members of the committee be set up to consider the revisions and update to the national model and plain language guide. They would then report back to committee and then the committee would make a recommendation to full council. The Deputy Monitoring Officer would be leading on this, and the Chief Officer (Governance) felt it would be good for new members to be involved to provide different ideas and prospective. It was agreed that the Working Group would include Councillors Ted Palmer, David Coggins Cogan, Gina Maddison together with the Chair and Vice Chair of the committee.

The recommendations within the report were moved and seconded by Councillor Ted Palmer and Councillor Michelle Perfect

## **RESOLVED:**

- (a) That the 5 members appointed to the working group consider the updates and revisions to the national model constitution and the plain language guide, before making proposals for their adoption;
- (b) That the working group report back to this Committee in January with the intention of approving the changes at a Council meeting in early 2023; and
- (c) That the date of this Committee in January be brought forward to enable it to consider the working group's recommendations ahead of the Council meeting on 24 January 2023.

## **5. UPDATE ON THE INDUCTION PROGRAMME**

The Democratic Services Manager provided information on the Induction Programme with the first phase planned around 4 themes. Recordings of the training sessions would be made available on the members' page for future reference. Information on attendance and topics discussed at the training sessions was listed at Appendix 1 and 2 and he referred Members to Appendix 3 which provided information on the Member Development and Member Briefings sessions held.

Councillor Antony Wren found the training invaluable and comprehensive but felt it was an information overload. He was glad recordings would be made available to have a refresher.

Councillor Steve Copple asked when the recordings would be made available. In response the Democratic Services Manager confirmed the members' page would be on the Infonet in September but agreed to speak to Councillor Copple outside the meeting and send links to the one he was interested in

Councillor Roz Mansell confirmed that she would also wish to speak to the Democratic Services Manager as she had missed sessions too

Councillor Gina Maddison thanked the Democratic Services Manager for the induction programme and commented that the help provided by IT was brilliant. She asked if the Licensing Training would be provided again for those who missed the sessions. The Democratic Services Manager agreed to speak to the Licensing Manager next week and feedback to the four members who missed the training.

The recommendations within the report were moved and seconded by Councillor Ted Palmer and Councillor Antony Wren

### **RESOLVED:**

- (a) That the Committee considered the Member Induction programme held after the elections, included in Appendix 1 - Schedule of Induction Sessions Part a, and, Appendix 2 - Schedule of Induction Sessions Part b; and
- (b) That the Committee considered and supported the approach to Member Development for the rest of the municipal year, included in Appendix 3 - Flintshire County Council 2022/23 Member Development.

## **6. FORWARD WORK PROGRAMME**

In presenting the report for consideration the Democratic Services Manager sought members' views on the items already included in the Forward Work Programme. He added that the Timing of Meetings report, and the Public Strategy report would also need to be factored in and that there would be opportunities for

Members to add items to the Forward Work Programme as it was reviewed at every meeting.

The recommendations within the report were moved and seconded by Councillor Ted Palmer and Councillor Gillian Brockley.

**RESOLVED:**

- (a) That the Committee considers the draft Forward Work Programme and approve/amend as necessary; and
- (b) That the Democratic Services Manager, in consultation with the Chair of the Committee be authorised to vary the Forward Work Programme between meetings, as the need arises.

**7. MEMBERS OF THE PRESS IN ATTENDANCE**

There were no members of the press in attendance.

(The meeting started at 2.00 pm and ended at 3.10 pm)

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**Chairman**

Mae'r dudalen hon yn wag yn bwrpasol



# Eitem ar gyfer y Rhaglen 4



## CONSTITUTION AND DEMOCRATIC SERVICES COMMITTEE

<b>Date of Meeting</b>	Thursday 29 <sup>th</sup> September 2022
<b>Report Subject</b>	Amendments to Clwyd Pension Fund Constitution
<b>Report Author</b>	Joint Report by: Head of Clwyd Pension Fund, Chief Executive and Chief Officer (Governance)

### EXECUTIVE SUMMARY

The Clwyd Pension Fund is administered by Flintshire County Council as the Administering Authority on a “lead authority” basis which is set out in statute. The Council delegates responsibility for the management of pension issues to the Clwyd Pension Fund Committee, having appropriate advice from the Pension Fund Advisory Panel. The Council has also established the Clwyd Pension Fund Board, which is a legal requirement, made up of employer and member representatives and a non-voting Independent Chair.

This paper proposes changes to the Constitution and the Pension Board’s Protocol to:

- reflect the proposal that the Head of Clwyd Pension Fund is the senior officer with responsibility for operational delivery of the Pension Fund, rather than the Chief Executive;
- ensure that the Constitution is fully reflective of the status of pension fund management as a non-executive function.

Other incidental changes are included in the Appendix.

### RECOMMENDATIONS

1	That Members recommend to Council the changes outlined in the Appendix to its Constitution and the Pension Board Protocol.
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### REPORT DETAILS

<b>1.00</b>	<b>PROPOSED AMENDMENTS TO PENSION FUND GOVERNANCE</b>
1.01	<i>Background</i>  The Clwyd Pension Fund is managed and administered by Flintshire County Council as the Administering Authority on a “lead authority” basis which is set out in statute. The Clwyd Pension Fund is a £2.5bn Local

	Government Pension Fund which provides death and retirement benefits for local government employees in North East Wales and other qualifying contributors from approximately 50 contributing employers in relation to approximately 50,000 active members, retired members, dependants and deferred members.
1.02	In terms of governance, the management of the Local Government Pension Scheme (LGPS) matters are a Council rather than executive function. They are therefore are not within the remit of Cabinet, but this is not currently made clear within the Council's Constitution.
1.03	The Council's Constitution sets out arrangements for the ongoing management of the Fund and delegates responsibility for the management of pension issues to the Clwyd Pension Fund Committee. The Committee comprises elected members from Flintshire County Council, Wrexham County Borough Council and Denbighshire County Council, a representative from the other employers participating in the Fund and a scheme member representative.
1.04	The Council's Constitution also confirms the establishment of a Pension Fund Advisory Panel consisting of officers and advisers to the Clwyd Pension Fund. This body provides advice and proposes recommendations to the Clwyd Pension Fund Committee. It also carries out such matters as delegated to it from time to time by the Clwyd Pension Fund Committee.
1.05	The Council has also established the Clwyd Pension Fund Board, made up of employer and member representatives and a non-voting Independent Chair. The Board is an independent body created under the Public Sector Pensions Act 2013 (and therefore not a local authority committee). The Board's statutory function is to assist in ensuring compliance with regulations and associated guidance, and to assist in ensuring the effective and efficient governance and administration of the Fund. The role and terms of reference for the Board are set out in the Pension Board Protocol.
1.06	The previous Chief Executive, Mr Colin Everett, had a number of delegated responsibilities in relation to the Clwyd Pension Fund including establishing and chairing the Clwyd Pension Fund Advisory Panel. The change in Chief Executive is a timely opportunity to consider whether any changes are required in relation to the responsibilities relating to the Pension Fund.
1.07	<i>Proposed Changes</i>  Proposed changes to the Constitution and Pension Board Protocol are outlined in the Appendix to this report. The key changes relate to two specific areas as explained in the following paragraphs. There are some further incidental changes.
1.08	Recommendations from a national good governance review carried out by the LGPS Scheme Advisory Board include that:

	<i>“Each administering authority must have a single named officer who is responsible for the delivery of all LGPS related activity for that fund. (“the LGPS senior officer”).”</i>
1.09	The Fund’s Independent Governance Adviser advised that whilst the Good Governance recommendations are not yet confirmed in legislation, it would be best practice to identify a senior officer whose principal (or sole) focus is the pension fund, thus ensuring that person has the capacity to devote sufficient time to the role. This senior officer could then carry out the role recommended by the LGPS Scheme Advisory Board, which would include the pension fund administering authority responsibilities currently delegated to the Chief Executive. It was suggested that this should fall within the remit of the existing role of Head of Clwyd Pension Fund and this recommendation was endorsed by the previous Chief Executive and the Clwyd Pension Fund Advisory Panel. The recommended changes in the attached replace all Pension Fund administering authority responsibilities that currently fall to the Chief Executive with the Head of Clwyd Pension Fund. Furthermore, they include the addition of the Corporate Manager – Human Resources and Organisational Development as a new member of the Advisory Panel to replace the Chief Executive.
1.10	It has also been identified that further changes should be made to clarify the fact that Local Government Pension Scheme functions are a non-executive function. This means that: <ol style="list-style-type: none"> <li>1. the role of the Cabinet Member for Finance, Social Value &amp; Procurement should have Clwyd Pension Fund removed from his responsibilities; and</li> <li>2. the remit of the Cabinet Member for Governance and Corporate Services including Health and Safety and Human Resources should include responsibilities to reflect the Council’s role as an employer within the Clwyd Pension Fund; and</li> <li>3. the inclusion of the Clwyd Pension Fund Committee within the remit of the Corporate Resources element of the Overview and Scrutiny Committee should refer specifically to the Council’s role as an employer in the Clwyd Pension Fund.</li> </ol>
1.11	The associated changes to the Constitution and Pension Board Protocol have been discussed and accepted in principle by the Chief Executive and the Clwyd Pension Fund Advisory Panel.
1.12	The Clwyd Pension Fund Committee, using delegated responsibilities, has also agreed to recommend the changes to the Constitution and Pension Board Protocol as outlined in the attached. The Pension Board considered and agreed for recommendation, the principles of the changes to its Protocol.
<b>2.00</b>	<b>RESOURCE IMPLICATIONS</b>
2.01	There are no immediate resource implications expected as a result of these recommendations.

<b>3.00</b>	<b>CONSULTATIONS REQUIRED / CARRIED OUT</b>
3.01	The former and existing Chief Executives of Flintshire County Council, and the Clwyd Pension Fund Advisory Panel were involved in the development of these proposals. The principles of the proposed changes to the Pension Board Protocol were discussed and agreed for recommendation to Council by the Pension Board.
<b>4.00</b>	<b>RISK MANAGEMENT</b>
4.01	Agreement to these changes will ensure that the Clwyd Pension Fund continues to be managed in line with national expectations. This includes ensuring appropriate ring-fencing of the decision making relating to the Fund which is a key element of risk management and supports the Administering Authority's fiduciary responsibility to scheme members and employers.
<b>5.00</b>	<b>APPENDICES</b>
5.01	Appendix 1 – Draft Constitution changes
<b>6.00</b>	<b>LIST OF ACCESSIBLE BACKGROUND DOCUMENTS</b>
6.01	None.  <b>Contact Officer:</b> Gareth Owens, Chief Officer (Governance) <b>Telephone:</b> 01352 702344 <b>E-mail:</b> gareth.legal@flintshire.gov.uk
<b>7.00</b>	<b>GLOSSARY OF TERMS</b>
7.01	None

**Clwyd Pension Fund responsibilities – proposed changes to FCC Constitution and Pension Board Protocol**

Key to changes:

- New text to be added is shown in red and highlighted – **like this**.
- Existing text to be removed is struck through and highlighted – ~~like this~~.

**SECTION 6 -**  
**THE LEADER' S SCHEME OF DELEGATION OF EXECUTIVE FUNCTIONS**

**2021 Cabinet Political Responsibilities**

<b>Finance, Social Value &amp; Procurement Cabinet Member: Cllr Paul Johnson</b>
<ul style="list-style-type: none"><li>• Medium Term Financial Strategy and Plan*;</li><li>• Budget &amp; Council Tax Policy*;</li></ul>
<ul style="list-style-type: none"><li>• Budget Performance &amp; Management*;</li><li>• Revenue budget monitoring</li><li>• Corporate Finance; performance and effectiveness</li><li>• Treasury Management;</li><li>• Strategic procurement</li><li>• Procurement services</li><li>• <del>Clwyd Pension Fund</del></li><li>• Capital programme</li><li>• Capital strategy</li><li>• Council Tax and Revenue collection</li><li>• Prudential indicators</li><li>• To oversee the delivery of the Council's strategy for Social Value.</li></ul>

**SECTION 7**

**7. OVERVIEW AND SCRUTINY COMMITTEES**

**7.3 Role, Scope and Membership**

The role, scope and Membership of the Overview and Scrutiny Committees are described in the table below:

<b>O&amp;S Committee</b>	<b>Scope:</b> To fulfil all of the functions of an Overview & Scrutiny committee, including Performance, Improvement and Policy Development as they relate to the following:	<b>Main contributors</b>
<p><b>Corporate Resources</b></p> <p>12 Elected Members</p> <p>Designated 'crime &amp; disorder scrutiny' committee</p>	<p><b>Corporate Management and Governance</b> Council strategic and improvement planning (Council Plan) Council performance and performance systems Customer Services and contact</p> <p><b>Finance Strategy</b> Revenue and capital strategic planning Revenue and capital budget monitoring</p> <p><b>Clwyd Pension Fund</b> <i>The Council's role as an employer in the Clwyd Pension Fund</i></p> <p><b>ICT and Digital Strategies</b> <b>People Strategy</b> Organisational Design &amp; Change Programme</p> <p><b>Corporate Services</b> Corporate Communications Financial services ICT Services Information and Business Services Procurement HR Business Partnering Occupational Health and Wellbeing</p>	<p>Leader of the Council; Corporate Management &amp; Assets; Finance. Chief Executive; CO (Governance) Corporate Finance Manager Senior Manager (HR &amp; OD)</p>
	<p>Employment Services Legal Services Democratic Services Revenues</p> <p><b>Strategic and Partnership Working</b> Partnership and collaborative working frameworks Public Service Board</p>	

## **SECTION 9**

### **9.7 Clwyd Pension Fund Committee**

The Council will establish a Clwyd Pension Fund Committee.

## 9.7.1 Composition

### (a) Membership

The Clwyd Pension Fund Committee will be composed of 9 members. Its membership will include:

- i) 5 Councillors of Flintshire County Council, determined by the Council.

Four co-opted members comprising:-

- ii) One Councillor of Wrexham County Borough Council, determined by that Council.
- iii) One Councillor of Denbighshire County Council, determined by that Council.
- iv) One representative of the other Scheme Employers (not admission bodies) in the Clwyd Pension Fund as defined by Schedule 2 of the Local Government Pension Scheme 2013, as amended from time to time, appointed in accordance with procedures agreed by the ~~Chief Executive~~ **Head of Clwyd Pension Fund** in consultation with the members of the Pension Fund Advisory Panel\*.
- v) One representative of the scheme members of the Clwyd Pension Fund, appointed in accordance with procedures agreed by the **Head of Clwyd Pension Fund** ~~Chief Executive~~.
- vi) Named substitutes are permitted for Flintshire County Council members only, providing they satisfy the knowledge and skills policy of the pension fund.

\*The Pension Fund Advisory Panel is a group of officers and advisers to the Clwyd Pension Fund, currently consisting of:

- The **Corporate Manager – Human Resources and Organisational Development** ~~Chief Executive~~ of Flintshire County Council
- The Chief Finance Officer for Flintshire County Council
- The Head of the Clwyd Pension Fund
- Investment Consultant
- Fund Actuary
- Independent Adviser

### (b) Term of office

- i) The representative members (for other scheme employers and scheme members) are appointed for a period of no more than six years and may be reappointed for further terms.

- ii) Councillors of Flintshire County Council will be appointed annually and may be reappointed for further terms.
- ii) Councillors of other local authorities who are members of the Pension Fund Committee will have a term of office to the next ordinary local government election following their appointment. They may be reappointed for further terms.

(c) *Quorum.*

A meeting of the Pension Fund Committee shall only be quorate when:

- i) At least five members are present, and
- ii) At least three of the members present are Councillors of Flintshire County Council

(d) *Voting*

The Councillors from Wrexham County Borough Council and Denbighshire County Council and the representative members will be entitled to vote at meetings as well as Councillors of Flintshire County Council;

(e) *Chairing the Committee.*

- i) Only Councillors of Flintshire County Council may be the Chair and Vice-Chair.
- ii) The Chair will be elected annually by members of Flintshire County Council.
- iii) The Vice-Chair will be elected annually by members of the Pension Fund Committee.

(f) The Council Procedure Rules should apply to this Committee in the same way as they apply to other Committees unless different provision is made in this article.

(g) The Pension Fund Committee may occasionally meet outside of the Flintshire County Council area.



## 9.7.2 Role and Function

The Pension Fund Committee's principal aim is to carry out the functions of Flintshire County Council as the Scheme Manager and Administering Authority for the Clwyd Pension Fund in accordance with Local Government Pension Scheme legislation.

The Pension Fund Committee will have the following specific roles and functions, taking account of advice from the *Head of Clwyd Pension Fund* ~~Chief Executive as administrator to the Fund~~ and the Fund's professional advisers:

- a) Ensuring the Clwyd Pension Fund is managed and pension payments are made in compliance with the extant Local Government Pension Scheme Regulations, Her Majesty's Revenue & Customs requirements for UK registered pension schemes and all other relevant statutory provisions.
- b) Ensuring robust risk management arrangements are in place.
- c) Ensuring the Council operates with due regard and in the spirit of all relevant statutory and non statutory best practice guidance in relation to its management of the Clwyd Pension Fund.
- d) Determining the Pension Fund's aims and objectives, strategies, statutory compliance statements, policies and procedures for the overall management of the Fund, including in relation to the following areas:
  - i) Governance – approving the Fund's Governance Policy and Compliance Statement for the Fund within the framework as determined by Flintshire County Council and making recommendations to Flintshire County Council about any changes to that framework.
  - ii) Funding Strategy – approving the Fund's Funding Strategy Statement including ongoing monitoring and management of the liabilities, ensuring appropriate funding plans are in place for all employers in the Fund, overseeing the triennial valuation and interim valuations, and working with the actuary in determining the appropriate level of employer contributions for each employer.
  - iii) Investment Strategy - approving the Fund's Investment Strategy *Statement, Statement of Investment Principles and Myners Compliance Statement* including setting *the Responsible Investment Policy and* investment targets and ensuring these are aligned with the Fund's specific liability profile and risk appetite.

- iv) Administration Strategy – approving the Fund's Administration Strategy determining how the Council will the administer the Fund including collecting payments due, calculating and paying benefits, gathering information from and providing information to scheme members and employers.
  - v) Communications Strategy – approving the Fund's Communication Strategy, determining the methods of communications with the various stakeholders including scheme members and employers.
  - vi) Discretions – determining how the various administering authority discretions are operated for the Fund.
- e) Monitoring the implementation of these policies and strategies on an ongoing basis.
- f) In relation to the Wales Pooling Collaboration arrangements
- i) Undertaking the following matters reserved to Flintshire County Council as outlined in the Inter-Authority Agreement
    - Appointment, termination or replacement of the Operator following the making of a recommendation by the Joint Governance Committee
    - Approval of additional expenditure not included within the Business Plan which exceeds 30 % of the approved budget in the Business Plan in any one Financial Year.
    - Formulation, approval or revisions of each respective Constituent Authority's Investment Strategy for the purposes of regulation 7 of the Investment Regulations.
    - Admitting a new administering authority within the LGPS to the Investment Pool as a Constituent Authority.
    - Amendment of the Agreement which is not significant to the operation of the arrangements.
    - Material change to the nature of the Operator Contract.
    - Approval of the initial strategic objectives to allow preparation of the first Business Plan (which objectives shall reflect the objectives set out in the procurement of the Operator).
    - Approval of any evaluation or scoring criteria for any

procurement of a replacement Operator.

- Approval of the Business Plan which shall include approval of the ongoing strategic objectives of the Investment Pool.
- Determination of the timing of the transition of the assets held by Clwyd Pension Fund into the Pooling Collaboration and the funds or sub-funds operated by the Operator.
- Approval of changes to the terms of reference of the Joint Governance Committee as set out in Schedule 4 of the Inter-Authority Agreement.

Note:

- the Council shall retain the power to terminate the Inter-Authority Agreement or make amendments to the Inter-Authority Agreement that may be significant to the operation of the arrangements.
- the Council has determined that the nomination of a Co-opted Member to the Joint Governance Committee is to be carried out by the Pension Board.
  - ii) Delegating powers to Flintshire County Council's own officers and the Host Council where required.
  - ii) Nominating Flintshire County Council's officers to the Officer Working Group.
  - g) Approving the Fund's Annual Report including the Fund's financial statements
  - h) Selection, appointment, dismissal and monitoring of the Fund's advisers, including actuary, benefits consultants, investment consultants, global custodian, fund managers, lawyers, pension funds administrator, and independent professional advisers.
  - i) Making decisions relating to employers joining and leaving the Fund. This includes which employers are entitled to join the Fund, any requirements relating to their entry, ongoing monitoring and the basis for leaving the Fund.
  - j) Agreeing the terms and payment of bulk transfers into and out of the Fund.
  - k) Agreeing Pension Fund Business Plans and monitoring progress against them.
  - l) Agreeing the Fund's Knowledge and Skills Policy for all Pension Fund Committee members and for all officers of the Fund, including determining the Fund's knowledge and skills framework, identifying training

requirements, developing training plans and monitoring compliance with the policy.

- m) Agreeing the Administering Authority responses to consultations on LGPS matters and other matters where they may impact on the Fund or its stakeholders.
- n) Receiving ongoing reports from the ~~Chief Executive~~ **Head of Clwyd Pension Fund as administrator to the fund** and Pensions Advisory Panel in relation to delegated functions.

No matters relating to Flintshire County Council's responsibilities as an employer participating within the Clwyd Pension Fund are delegated to the Pension Fund Committee.

### **9.7.3 Officers**

The Committee may delegate a limited range of its functions to one or more officers of the Authority. The Pension Fund Committee will be responsible for outlining expectations in relation to reporting progress of delegated functions back to the Pension Fund Committee.

### **9.7.8 Wales Pooling Collaboration Joint Governance Committee**

9.7.8.1 The Council will establish the Joint Governance Committee.

9.7.8.2 The Chair~~man~~ and Vice Chair~~man~~ of Flintshire County Council's Pension Fund Committee shall be the Member and Deputy Member on the Joint Governance Committee.

9.7.8.3 The Joint Governance Committee Matters, Terms of Reference and Procedures are as included within the Inter-Authority Agreement as shown below.

#### **(a) Joint Governance Committee Matters**

Subject to the terms of the Agreement, the Joint Governance Committee shall undertake those matters which are not Matters Reserved to the Constituent Authorities which shall include (without prejudice to the generality of the foregoing):

- i) Making a recommendation on the appointment, replacement or termination of the Operator to the Constituent Authorities.
- ii) Appointing and replacing service providers, advisers to the Joint Governance Committee (other than the Operator).
- iii) Approving the creation of new pooled vehicles for the Operator.
- iv) Approving the creation of new sub-funds provided by the Operator.

- v) Approving the termination of sub-funds provided by the Operator.
- vi) Preparing a plan relating to the overall transition of assets in accordance with each Constituent Authority's asset transition plan.
- vii) Approving changes to the Operator Contract which are not material changes to the nature of the Operator Contract
- viii) Dealing with the necessary general ongoing management of the Pooling Collaboration.
- ix) Delegation of tasks to the Officer Working Group, including the preparation of reports and draft documents and the undertaking of consultations.
- x) Liaison with Pension Boards as appropriate in line with CIPFA guidance, guidance issued by the Pensions Regulator and other applicable legislation or regulatory guidance.
- xi) Determining the best means of alternative investment structures for assets where a sub-fund is not being provided by the Operator.

Notwithstanding the above, for the avoidance of doubt, the Joint Governance Committee may not delegate its responsibilities.

(b) Joint Governance Committee - Terms of Reference

The Joint Governance Committee responsibilities are:

- i) Making recommendations to the Constituent Authorities on the termination of the Operator Contract before the conclusion of the fixed term contract, where the performance of the Operator is considered unacceptable;
- ii) Ensuring that there are an appropriate range of sub-funds available in order to allow the Constituent Authorities to meet their strategic investment aims. Following representation from any, some or all of the Constituent Authorities, the Joint Governance Committee may direct the Operator to set up a sub-fund in a particular asset class. The Joint Governance Committee must be mindful at all times of the need to balance the requirement to provide a particular sub-fund with the benefits of holding aggregated assets;
- iii) Monitoring the performance of the Operator against the agreed set of key performance indicators;
- iv) Reporting on the performance of the Investment Pool, its costs and other activities, but not limited to, the Constituent Authorities, government, the Scheme Advisory Board and the general public;

- v) From time to time, to review the appropriateness of the existing structures, including the number and make-up of sub-funds and to make recommendations to the Constituent Authorities as to the respective merits of procuring Operator services by means of a third party or through ownership by the Constituent Authorities of the Operator;
- vi) Liaising with the Operator, in such areas as the Operator seeks the preferences and views of the Joint Governance Committee, on the appointment of suppliers, for example manager preferences or the appointment of depositories;
- vii) Liaising with the Constituent Authorities on the appropriate range of sub-funds to be provided in the Investment Pool;
- viii) From time to time reviewing policies in respect of ethical, social and governance matters and voting rights and where appropriate make recommendations to the Constituent Authorities as to any changes deemed necessary;
- ix) From time to time reviewing policies in respect of environmental, social and governance matters and where appropriate make recommendations to the Constituent Authorities as to any changes deemed necessary.
- x) Recommend a high level plan for initial transition of assets to the pool and further asset transitions in the event, for example, of new sub-funds being created or manager changes within sub-funds;
- xi) Ensuring that the Officer Working Group acts within its remit as set out in clause 4 and Schedule 8 of the Agreement;
- xii) Providing any analysis or commentary on annual accounts to the Constituent Authorities;
- xiii) Monitoring the implementation and effectiveness of the policies described in Schedule 5 of the Agreement and initiating reviews of these where required;
- xiv) Delivery and ongoing monitoring against the Pooling Collaboration objectives, Business Plan and budgets;
- xv) Approving responses from the Pooling Collaboration in relation to consultations or other matters considered appropriate;
- xvi) Seeking advice from professional and authorised and regulated advisers where necessary;
- xvii) Agreeing the Business Plan to be put forward to the Constituent

Authorities for approval;

- xviii) Report to the Constituent Authorities quarterly (and at any other time when the Joint Governance Committee considers it to be necessary) on the matters within their remit including but not limited to the performance of the Operator, the structure of the funds and the ongoing monitoring of the Business Plan;
- xix) Agreeing criteria for the evaluation of bids or tenders for any procurement of the Operator (including for the avoidance of doubt, a replacement Operator) to be put forward for the approval of the Constituent Authorities;
- xx) Any reference in this schedule to the Joint Governance Committee taking any action including agreeing, approving or making recommendations, shall be determined subject to the voting provisions set out in Schedule 6 of the Agreement;
- xxi) Approval of an appointment of an Allocator following a recommendation by the Officer Working Group, reviewing the performance of an Allocator(s), and reporting on the performance of the Allocator(s) to the Constituent Authorities;
- xxii) Approval of the termination of the appointment of an Allocator following a recommendation by the Officer Working Group.

(c) Joint Governance Committee Schedule of Procedure

**i) MEMBERSHIP**

- i.1 The membership of the Joint Governance Committee shall consist of one Member per Constituent Authority and one Co-opted Member.
- i.2 No substitutes other than deputies shall be allowed.

**iA) ROLE OF THE CO-OPTED MEMBER**

- iA.1 The primary role of the Co-opted Member is to provide scheme member representation on the Joint Governance Committee.
- iA.2 The Co-opted Member is entitled to attend all meetings of the Joint Governance Committee, including exempt items, to be provided with copies of all papers, and to speak on any item during meetings of the Joint Governance Committee.
- iA.3 The Co-opted Member may ask the Chair to include any matter on the agenda which they consider should be discussed by the Joint Governance Committee.

**ii) MEETINGS**

- ii.1 Meetings shall be held a minimum of four times per municipal year (being May to May). The anticipated schedule of meetings and the locations in which they will be held will be agreed in advance of the commencement of the next Financial Year no later than the final meeting of the current Financial Year.
- ii.2 A meeting may be held at such time and place as the Chair of the Joint Governance Committee thinks fit provided that at least two meetings per annum shall be held in rotating locations between the Constituent Authorities to facilitate public access. The Constituent Authorities shall make available suitable accommodation for the holding of such meetings in public including the provision of Welsh Language translation, video-conferencing and webcasting services as appropriate.
- ii.3 All agendas, executive summaries of reports and minutes in relation to the Joint Governance Committee shall be in both Welsh and English, and simultaneous translation of proceedings will be available throughout all meetings of the Joint Governance Committee.
- ii.4 The Joint Governance Committee may decide to allow remote participation in meetings via video-conference or any similar medium having regard to any applicable guidance issued from time to time by the Welsh Government. Any Member or Co-opted Member attending by video-conference shall be held to be in attendance at the meeting for the purposes of this Schedule.
- ii. 5 A meeting of the Joint Governance Committee may be called by a proper officer of the Host Authority on the request of the Chair. Members and the Co-opted Member must declare any conflict of interest in respect of any business being conducted at the meeting which would likely to be regarded to prejudice the exercise of a person's function as a participant in the meeting.
- ii.6 The Chair is responsible for the running of meetings. The Chair shall invite Members and the Co-opted Member expressing a desire to speak in turn. All discussion and debate shall be held through the Chair and the Chair may draw a discussion to a vote at any time where they consider that every Member and the Co-opted Member has been given a fair opportunity to speak.
- ii.7 Minutes will be kept of all meetings. The Chair will sign the minutes of the proceedings at the next suitable meeting.
- ii.8 Notice of meetings
  - (a) A notice of meeting specifying the place, date and time of the meeting and containing a statement of the matters to be discussed



at the meeting, shall be served on all of the Members of the Joint Governance Committee by the appropriate governance officer of the Host Council;

- (b) Subject to the next clause ((c)), notice of each meeting, copies of the agenda and any reports to be presented at the meeting, shall be given to all Constituent Authorities by the Host Council no later than seven clear days before the date of the meeting. The Constituent Authorities shall ensure that a minimum of five clear days' notice of all meetings is given in accordance with their normal procedures for notification of Council meetings and all papers made available at all of the Constituent Authorities head offices for inspection for those five days unless certified as confidential in which case agendas and any non-certified items are made available only.
- (c) If a meeting is required to be held with less than five days' notice, the Chair must agree it is required urgently, approve the shortened notice period and allow as much notice as possible to be given. Notice should be given in the same manner, and the documents should be made available to all of the Constituent Authorities for as many days as practicable before the meeting.

#### ii.9 Exclusion of the public and press

- (a) Where any item to be discussed forms exempt information, the Chair shall move that the public and press are excluded from the meeting for the duration of the discussion and voting on that item. The Co-opted Member is entitled to remain in the meeting and shall not be excluded. Motions to exclude the press and public do not require to be seconded and shall be determined by simple majority vote of the Members present.
- (b) Where the press and public are excluded under (a) above the Chair may invite any person to remain in the meeting where they consider it to be necessary or appropriate to do so and any members of the Officer Working Group present shall be presumed to be invited to remain unless the Chair specifies otherwise.
- (c) Any person may be excluded from a meeting or required to leave a meeting where in the opinion of the Chair they are causing a disturbance to the running of the meeting and have not desisted from doing so following a request; or where any person is so disruptive that their conduct if allowed to remain would prevent the meeting from proceeding in a fair and acceptable manner.

- ii.10 The Joint Governance Committee may, through the Chair, invite any person to speak at a meeting.

- ii.11 Officers of the Officer Working Group presenting reports to the Joint Governance Committee may be asked questions following such presentation.
- ii.12 Section 151 Officers and Monitoring Officers (and in their absence their deputies) of any Constituent Authority are entitled to attend all meetings including any part of any meeting which is closed to the public and press.

**iii) QUORUM**

- iii.1 The quorum shall be five Members.
- iii.2 Where a quorum is not present within 15 minutes of the start of the meeting and the Chair has not been notified that one or more Members have been delayed but will be attending, the meeting shall not be held and the Host Council will be asked to schedule and give notice of a replacement meeting.
- iii.3 Where, during any meeting there is no quorum present, then the meeting will adjourn immediately. If the Chair has been unable to ascertain within 15 minutes that the quorum can be restored the remaining business will be considered at another time and date fixed by the Chair.

**iv) CHAIR AND VICE CHAIR.**

- iv.1 The Chair and Vice-Chair shall be Members and shall be appointed by vote for a term of 12 calendar months.
- iv.2 In the absence of the Chair, the Vice-chair shall be entitled to exercise all of the functions of the Chair.
- iv.3 The decision of the Chair of the meeting on all points of procedure and order and the Chair's interpretation of any rule in this Schedule of Procedure shall be final and no debate may ensue thereon. The Chair shall be entitled to take the advice of a governance officer in interpreting any rule or objection on procedure.
- iv.4 The Chair may be assisted during meetings by a governance officer on procedural matters and such administrative officers as the Chair considers appropriate. Such governance and secretarial officers shall be entitled to remain in the meeting where the public and press are excluded.

**v) AGENDA**

- v.1 An agenda shall be produced in advance for each meeting by the Host Council following consultation with the Chair.

- v.2 The agenda for each meeting shall contain as the first substantive item the approval of the minutes of the previous meeting. The Chair will move that the minutes of the previous meeting be signed as a correct record. The only part of the previous minutes that can be discussed is their accuracy and any matters arising from those previous minutes shall be regarded as new items on the agenda of the current meeting.
- v.3 The Officer Working Group and the Constituent Authorities may ask the Chair to include any matter on the agenda which they consider should be discussed by the Joint Governance Committee.
- v.4 The decision on whether to allow discussion on any other matter not on the agenda of a meeting at that meeting shall be made by the Chair.

**vi) MOTIONS**

- vi.1 Any Member may propose a motion. All motions must be seconded. Motions which are opposed shall be put to a vote in accordance with the voting provisions of this Schedule of Procedure.
- vi.2 A Member or the Co-opted Member may raise a point of order at any time. The Chair will hear them immediately. A point of order may only relate to an alleged breach of the provisions of this Schedule, or the law or other competent authority. The Member or Co-opted Member must indicate the provision or law or regulation and the way in which he/she considers it has been broken. The ruling of the Chair on the matter will be final. The Chair may take advice on the point of order from the appropriate officer.

**vii) VOTING**

- vii.1 The Chair shall seek consensus wherever possible however where a vote is required the provisions of this section shall apply.
- vii.2 Each Member present will have one vote and voting will be by means of a show of hands or such other method as the Chair may decide is appropriate in the circumstances, including a roll call. The Co-opted Member may not vote. In the event of a tied vote, the Chair shall have a second or casting vote.
- vii.3 All decisions will be determined by simple majority of Members present.
- vii.4 In the event that a vote is taken, the voting positions and any abstentions of Members will be recorded in the minutes.

## **viii) SUB-COMMITTEES AND WORKING GROUPS**

- viii.1 The Joint Governance Committee shall form such sub-committees and working groups as it considers expedient to performing its function. The Joint Governance Committee shall at the time of forming sub-committees or working groups set out the remit of the sub-committees or working groups, what the sub-committees or working groups are required to deliver and the timescale for that delivery. The Co-opted Member may be a member of any sub-committee or working group.
- viii.2 Sub-committees and working groups shall be entitled to request the input and support of the Officer Working Group in the same manner as the Joint Governance Committee.
- viii.3 Each sub-committee and working group shall appoint a chair for that sub-committee or working group, who is to be one of the members of the sub-committee or working group.
- viii.4 Working groups may invite any person who is not a Member or Co-opted Member to join the group in order to assist in carrying out its function.
- viii.5 The Chairs of sub-committees and working groups shall report to Joint Governance Committee at each meeting of that committee on the process of the matters within their remit.
- viii.6 Sub-committees and working groups may be disbanded at any time on the vote of the Joint Governance Committee.
- viii.7 The provisions of the paragraphs in this Schedule of Procedure relating to Agendas, Motions and Voting shall apply to any sub-committee and working group meetings.

## **9.8. Section 5 of the Public Service Pensions Act 2013**

- 9.8.1 In accordance with Section 5 of the Public Service Pensions Act 2013, a local Pension Board assists Flintshire County Council in the governance and administration of the Clwyd Pension Fund. The Board's role, members, terms of reference and working arrangements are contained in the following Protocol (following paragraph 9.102).

## **9.11 Pension Board Protocol**

## **1) Introduction**

The purpose of this document is to set out the Protocol for the local Pension Board of the Clwyd Pension Fund. Flintshire County Council is a scheme manager as defined under Section 4 of the Public Services Pensions Act 2013. The Pension Board is established by Flintshire County Council under the powers of Section 5 of the Public Service Pensions Act and regulation 106 of the Local Government Pension Scheme Regulations 2013. As such, the Constitution of Flintshire County Council does not apply to this Pension Board unless expressly referred to within and permitted by this Protocol.

## **2) Powers of the Pension Board**

The Pension Board will exercise all its powers and duties in accordance with the law and this Protocol.

In addition, Flintshire County Council has determined that, in accordance with the Wales Pooling Collaboration Inter-Authority Agreement, any nomination of a Co-Opted Member to the Joint Governance Committee will be carried out by the Pension Board.

## **3) Role of the Pension Board**

The role of the Pension Board is defined by regulation 106 (1) of the LGPS Regulations as to assist Flintshire County Council as Scheme Manager of the Clwyd Pension Fund:

- to secure compliance with the LGPS Regulations and any other legislation relating to the governance and administration of the Scheme, and requirements imposed in relation to the LGPS by the Pensions Regulator
- to ensure the effective and efficient governance and administration of the LGPS by the Clwyd Pension Fund

The Council considers this to mean that the Pension Board is providing oversight of these matters and, accordingly, the Pension Board is not a decision making body in relation to the management of the Pension Fund but merely makes recommendations to assist in such management. The Pension Fund's management powers and responsibilities which have been, and may be, delegated by the Council to committees, sub-committees and officers of the Council, remain solely the powers and responsibilities of those committees, sub-committees and officers including but not limited to the setting and delivery of the Fund's strategies, the allocation of the Fund's assets and the appointment of contractors, advisors and fund managers. The Pension Board operates independently of the Clwyd Pension Fund Committee.

The Pension Board will ensure that in performing their role it is:

- done effectively and efficiently and

- complies with relevant legislation and
- done by having due regard and in the spirit of the Code of Practice on the governance and administration of public service pension schemes issued by the Pensions Regulator and any other relevant statutory or non-statutory guidance.

**4) Composition of the Pension Board**

**a) Membership.**

The Pension Board shall consist of 5 members and be constituted as follows:

- i) 2 Employer Representatives;
- ii) 2 Scheme Member Representatives;
- iii) 1 Independent Member.

Substitutes for the Employer or Scheme Member Representatives are not permitted. The Independent Member will have an Alternate who will carry out Pension Board business if the Independent Member is not available to do so.

**b) Eligibility and selection criteria.**

The ~~Chief Executive as administrator to the fund (hereafter referred to as the 'Chief Executive')~~ **Head of Clwyd Pension Fund as the Board Secretary (hereafter referred to as "Board Secretary")** will define and keep under review any eligibility and/or selection criteria that will apply to Pension Board members having due regard to the LGPS Regulations and any other relevant Code of Practice and guidance (statutory or otherwise). As a minimum and in accordance with the LGPS Regulations:

- all scheme member and employer representatives must have the capacity to represent their scheme members and employers as appropriate, and
- no officer or elected member of Flintshire County Council who is responsible for the discharge of any function of the Administering Authority under the LGPS Regulations may be a member of the Clwyd Pension Fund Board.

**c) Appointment of Members.**

The process for selecting members of the Pension Board is set out below.

*i) Employer Representative*

**Step 1** - Each employer within the Clwyd Pension Fund will be invited to nominate one representative to represent employers on the Pension Board. The **Board Secretary** ~~Chief Executive~~ can appoint or reject any nomination made where **it is** ~~she/he~~ **she/he** considers ~~ed~~ **ed** the individual does not appropriately meet the eligibility and/or selection criteria.

**Step 2** - Where Step 1 fails ~~the Board Secretary~~ Chief Executive will take any other action ~~she/he~~ considered appropriate to appoint suitable Employer Representatives.

*ii) Scheme Member Representatives*

The joint trade unions will be approached to make one nomination of a person to represent scheme members. The ~~Board Secretary~~ Chief Executive can appoint or reject any nomination made where he/she considers the individual does not appropriately meet the eligibility and/or selection criteria.

The other Scheme Member Representative must be an active, deferred or pensioner member of the Clwyd Pension Fund and will be selected by the ~~Board Secretary~~ Chief Executive following procedures determined by him/her in the spirit of any national guidance or Code of Practice in relation to appointments to the Pension Board.

NB: Step 2 for the Employer Representative also applies to the Scheme Member Representative.

*iii) Independent Member and Alternate*

The ~~Board Secretary~~ Chief Executive will appoint the Independent Member and Alternate. This will be subject to a majority vote by the employer and scheme member representatives on the Board.

**d) Term of Office**

- i) The Employer Representatives are appointed for a period of three years from ~~the date of establishment of the Pension Board or~~ the date of their appointment ~~if later~~. This period may be extended to up to five years if agreed by the ~~Chief Executive~~ Board Secretary. It will automatically cease if the individual is no longer in the employment of that employer.
- ii) The Scheme Member Representatives are appointed for a period of three years from ~~the date or establishment of the Pension Board or~~ the date of their individual appointment ~~if later~~. This period may be extended to up to five years if agreed by the ~~Chief Executive~~ Board Secretary. It will automatically cease if the individual is no longer a trade union representative or representative of scheme members (in accordance with the criteria set by the ~~Board Secretary~~ Chief Officer (People and Resources), as appropriate).
- iii) The Independent Member's and Alternate's term of office will be determined by the ~~Chief Executive~~ Board Secretary subject to a maximum of five years.

Any Pension Board member may be re-appointed for further terms following an

appointment process.

Term dates may not be exact due to the period of the appointment process. The term of office may therefore be extended for this purpose or other exceptional circumstances by up to three months with the agreement of the **Chief Executive Board Secretary**.

Other than ceasing to be eligible (as set out above) a Pension Board member may only be removed from office during the term of appointment by the unanimous agreement of the **Chief Executive Board Secretary**, the Monitoring Officer and the Independent Member. Such reasons may include non-compliance with this Protocol including inappropriate conduct, conflicts of interest, avoidance of training or low meeting attendance, not having the capacity to represent their members **or employers (as relevant)** or for any other reason not meeting the criteria required to be a Pension Board member.

The removal of the Independent Member requires unanimous agreement from the **Chief Executive Board Secretary** and the Monitoring Officer.

#### e) Quorum

All Members of the Pension Board are expected to regularly attend meetings. Records of attendance of all Members will be maintained and reported to the **Chief Executive Board Secretary** on at least an annual basis.

A meeting of the Pension Board will only be quorate when:

- one Employer Representative and
- one Scheme Member Representative and
- the Independent Member or Alternate

are present. Subject to agreement by the Independent Member this could include remote attendance. A meeting that is (or becomes at any point) not quorate will cease immediately.

#### f) Chairing

The Chair of the Pension Board will be the Independent Member (or Alternate) subject to a motion to confirm this being agreed by the majority of the Employer and Scheme Member Representatives of the Board. The role of the Chair is to:

- Ensure that all members of the Board show due respect for process, that all views are fully heard and considered and that decisions are democratically made where consensus cannot be reached.
- **To uphold** and promote the purpose of the Board and to interpret its Protocol when necessary



- Ensure that the Pension Board members have the knowledge and skills as determined in the Fund's **Training Knowledge and Skills** Policy and other guidance or legislation and maintain a training record.
- Act as professional adviser for the Board or arrange such advice as required subject to agreement by the **Chief Executive-Board Secretary** on such conditions as that officer determines.
- Agree the agenda for each Pension Board meeting.
- Approve minutes for Pension Board meetings.
- Ensure an attendance record is maintained along with advising Flintshire County Council on remuneration and expenses to be paid.
- Advise Flintshire County Council on an appropriate budget for the Board, which should be formally approved by the Pension Fund Committee along with the Pension Fund Annual Budget.
- Write reports required by Flintshire County Council on the work of the Board.
- Liaise with the **Clwyd Pension Fund Manager-Board Secretary** on the requirements of the Board, including advanced notice for Flintshire County Council officers to attend and arranging dates and times of Board meetings.
- Other tasks which may be deemed appropriate by Flintshire County Council for the Chair of the Pension Board.
- Other tasks that may be requested by the members of the Pension Board, within the remit of this Protocol and subject to agreement with the **Chief Executive-Board Secretary**
- To annually review and report on the performance of the Board

The decision of the Chair on all points of procedure and order and the Chair's interpretation of the Protocol shall be final.

#### **g) Voting**

Each Employer and Scheme Member Representative on the Pension Board will have an individual voting right but it is expected the Pension Board will as far as possible reach a consensus. The Chair of the Pension Board will not be entitled to vote.

The results of any voting outcomes will be reported in the Board minutes including where a consensus was not reached in voting.

Any decision being reported to the Monitoring Officer under 9b) below must receive agreement from a majority of voting members.

## 5) Location and Frequency of Meetings

The Pension Board will normally meet at the offices of the Clwyd Pension Fund, although the offices of any Employer Representatives could be used with prior agreement of the Chair and at no additional accommodation cost to the Clwyd Pension Fund. Meetings can also be held with remote attendance by members or as hybrid (a combination of physically present and remote attendance).

The Board will meet a minimum of twice and a maximum of four times in each calendar year. If the Board does not believe that this is sufficient to discharge its duties and responsibilities effectively the Chair can make a request to the ~~Chief Executive~~ **Board Secretary** for approval to hold additional meetings.

Urgent business of the Pension Board between meetings may, in exceptional circumstances, be conducted via communications between members of the Pension Board including remote attendance, telephone conferencing and e-mails.

## 6) Remuneration and Expenses

- a) Subject to b) below, an allowance will be made to both Employer and Scheme Member Representatives for attending meetings relating to Pension Board business (including attending training) at the rates contained in the Schedule of Member Remuneration in Flintshire County Council's Constitution for co-opted members of a Flintshire County Council Committee.
- b) It is hoped that employers of Representatives on the Pension Board will provide appropriate capacity to allow the Representative to perform this role within their normal working day without any reduction in pay. If that is the case, the Representative will not be entitled to any allowance.
- c) All Employer and Scheme Member Representatives will also be entitled to claim travel and subsistence allowances at the rates contained in the Schedule of Member Remuneration in Flintshire County Council's Constitution.
- d) The Independent Member and Alternate shall be paid remuneration and expenses as determined by the ~~Chief Executive~~ **Board Secretary**.

## 7) Acting in the interests of the entire pension fund

Though members of the Pension Board include representatives of specific categories of stakeholder (i.e. scheme members and employers) each member is required to have due regard to the Role of the Pension Board as outlined in this Protocol. Accordingly all members are expected to work jointly in the best interest of the entire Pension Fund, rather than representing the interest of any individual stakeholders. This should not prevent Members from sharing their knowledge on how matters might impact specific stakeholders of the Fund.

## 8) Conflicts of Interest

A conflict of interest is defined in the Public Service Pensions Act as:

“in relation to a person, means a financial or other interest which is likely to prejudice the person’s exercise of functions as a member of the board (but does not include a financial or other interest arising merely by virtue of membership of the scheme or any connected scheme).”

Each member of the Pension Board (as well as any other attendees participating in the meeting) will be expected to declare, on appointment and at each meeting, any interests which may lead to conflicts of interest in the subject area or specific agenda of that Pension Board.

The Chair of the Pension Board must be satisfied that the Board is acting within:

- the conflicts of interest requirements of the Public Service Pensions Act and the LGPS Regulations, and
- in the spirit of any national guidance or code of practice in relation to conflicts of interest at the Pension Board, and
- in accordance with any Clwyd Pension Fund Conflicts of Interest Policy or Procedures that apply to the Board.

Each member of the Pension Board, or a person proposed to be appointed to the Board, (as well as attendees participating in the meeting) must provide the Chair of the Pension Board with such information as he or she reasonably requires for the purposes of demonstrating that there is no conflict of interest.

The ~~Chief Executive~~ **Board Secretary** and the Monitoring Officer will jointly adopt the role of ensuring that the Chair of the Pension Board does not have a conflict of interest in the same way as the Chair does in relation to all other Pension Board members. Further they must be satisfied that the Chair is carrying out his or her responsibilities under this section appropriately.

## 9) Reporting and escalation

- a) The Board must provide minutes of each meeting to the following Pension Fund Committee meetings and may make reports and recommendations to the Pension Fund Committee insofar as they relate to the role of the Pension Board. Any such reports or recommendations must be provided 10 working days in advance of the next Pension Fund Committee to the ~~Chief Executive~~ **Board Secretary**. In addition, an annual report of the Pension Board (as prepared by the Chair of the Pension Board), must be provided to the ~~Chief Executive~~ **Board Secretary**, the Monitoring Officer, the Pension Fund Committee, and the Governance and Audit Committee and be published in the Fund's Annual Report and Accounts.
- b) Where the Board considers that a matter brought to the attention of the Pension Fund Committee has not been acted upon or resolved to their satisfaction, the Pension Board will provide a report to the Monitoring

Officer.

## 10) Receipt of advice and information

The Board will be supported in its role and responsibilities by the Independent Member and the Head of Clwyd Pension Fund (“the Board Secretary”). In addition Pension Board members will receive the final reports, minutes and agendas relating to all Pension Fund Committees and may attend Pension Fund Committee meetings (including during exempt items).

Insofar as it relates to the role of the Pension Board, it may also;

- request and receive information and reports from the Pension Fund Committee or any other body or officer responsible for the management of the Fund
- examine decisions made or actions taken by the Pension Fund Committee or any other body or officer responsible for the management of the Fund.

The Board Secretary will provide such information as is requested.

Any further requests for information and advice are subject to the approval of the ~~Chief Executive~~ **Board Secretary** who will be required to consider positively all reasonable requests in relation to the role of the Pension Board whilst being mindful of value for money. The Board Secretary will provide such information as is approved.

## 11) Knowledge and Skills

Under the requirements of the Public Service Pensions Act, a member of the Pension Board must be conversant with:

- a) the legislation and associated guidance of the Local Government Pension Scheme (LGPS), and
- b) any document recording policy about the administration of the LGPS which is adopted by the Clwyd Pension Fund.

In addition, a member of the Local Pension Board must have knowledge and understanding of –

- The law relating to pensions, and
- Any other matters which are prescribed in regulations.

It is for individual Pension Board members to be satisfied that they have the appropriate degree of knowledge and understanding to enable them to properly exercise their functions as a member of the Pension Board.

In line with this requirement Pension Board members are required to be able to demonstrate their knowledge and understanding and to refresh and keep their

knowledge up to date. Pension Board members are therefore required to:

- participate in training events (a written record of relevant training and development will be maintained)
- undertake a personal training needs analysis or other method to identify gaps in skills, competencies and knowledge.
- comply with the Pension Fund's Training Policy insofar as it relates to Pension Board members.

## **12) Standards of Conduct**

The Flintshire Standard and Part 2 of the Flintshire County Council's Members' Code of Conduct shall apply in relation to the standards of conduct of Pension Board members insofar as they can be reasonably considered to apply to the role of members of the Pension Board, including the non-disclosure of confidential information.

## **13) Administration**

The Chair of the Pension Board will agree an agenda with the Board Secretary prior to each Pension Board meeting. The agenda and any papers for the Pension Board will be issued at least 5 working days (where practicable) in advance of the meeting except in the case of matters of urgency. Draft minutes of each meeting including all actions, decisions and matters where the Board was unable to reach a decision will be recorded and circulated to all Board members within 10 working days after the meeting. These draft minutes will be subject to formal agreement by the Chair taking consideration of comments by Board members (which may be done electronically between meetings).

The minutes may, at the discretion of the Chair, be edited to exclude items on the grounds that they would either involve the likely disclosure of exempt information as specified in Part 1 of Schedule 12A of the Local Government Act 1972 or it being confidential for the purposes of Section 100A (2) of that Act and/or they represent data covered by the Data Protection Act 1998/ General Data Protection Regulation.

The Pension Board must comply with the General Data Protection Regulation and Flintshire County Council's data protection policy. It must also adhere to Flintshire County Council's requirement, controls and policies for Freedom of Information Act compliance.

## **14) Access to the Public and publication of Pension Board information**

The Pension Board will not be a meeting of the Council open to the general public. The following will be entitled to attend Pension Board meetings in an observer capacity:

- Members of the Clwyd Pension Fund Committee
- the Chief Executive, Corporate Manager – Human Resources and

**Organisational Development**, the Section 151 Officer, **the Head of Clwyd Pension Fund** and the Monitoring Officer

- other officers or advisers of Flintshire County Council or other employers involved with the management of the Pension Fund subject to approval in advance by the Chair, or on request by the Chair
- any other person requested to attend by the Chair
- any other person subject to approval in advance by the Chair.

Any such attendees will be permitted to speak at the discretion of the Chair.

In accordance with the Public Service Pensions Act, Flintshire County Council is required to publish information about the Pension Board including:

- who the Pension Board members are
- representation on the Board
- the role of the Pension Board.

In accordance with good practice, Flintshire County Council may publish other information relating to the Pension Board as considered appropriate from time to time and which may include:

- the agendas and minutes
- training and attendance logs
- an annual report on the work of the Pension Board.

All or some of this information may be published using the following means or other means as considered appropriate from time to time:

- on the Clwyd Pension Fund website,
- on the Flintshire County Council website,
- within the Clwyd **Pension Fund** Annual Report and Accounts,
- within the Fund's Governance Policy and Compliance Statement.

Information may be excluded on the grounds that it would either involve the likely disclosure of exempt information as specified in Part 1 of Schedule 12A of the Local Government Act 1972 or it being confidential for the purposes of Section 100A(2) of that Act and/or they represent data covered by the Data Protection Act 1998 and the General Data Protection Regulation<sup>s</sup>.

## **15) Accountability**

The Pension Board will be collectively and individually accountable to Flintshire County Council.

## 16) Review, Interpretation and Publication of Protocol

This Protocol has been agreed by Flintshire County Council. The Council will monitor and evaluate the operation of the Pension Board and may review this Protocol from time to time.

This Protocol will be incorporated into the Council's Constitution and will be publicly available as part of the Constitution as defined in the Council's Constitution and may be amended by the same means as permitted for the Constitution. It will also form part of the Clwyd Pension Fund's Governance Policy and Compliance Statement which will be made available in accordance with the requirements of the LGPS Regulations.

## 17) Definitions and Interpretation

Points of interpretation:

- All references to officers are to officers within Flintshire County Council unless otherwise stated.

The undernoted terms shall have the following meaning when used in this document:

<i>"Pension Board" or "Board"</i>	the local Pension Board for Flintshire County Council, administering authority for the Clwyd Pension Fund as required under the Public Service Pensions Act 2013
<i>"Pension Fund Committee"</i>	The Clwyd Pension Fund Committee or any committee or sub-committee that may be established with delegated powers for the management and administration of the Fund on behalf of Flintshire County Council as Administering Authority.
<i>"Fund" or "Pension Fund"</i>	Clwyd Pension Fund
<i>"the LGPS Regulations"</i>	The Local Government Pension Scheme Regulations 2013 (as amended)
<i>the Public Service Pensions Act</i>	The Public Service Pensions Act 2013 (as amended)
<i>"Scheme Manager"</i>	Flintshire County Council as administering authority of the Clwyd Pension Fund.
<i>"Chair"</i>	The appointed Chairperson of the Pension Board

“LGPS”

The Local Government Pension Scheme

“Scheme”

The Local Government Pension Scheme

## 11. Officer Scheme of Delegation

### **SUB-SECTION E – SPECIFIC DELEGATIONS TO STATUTORY, LEGAL, FINANCIAL, HUMAN RESOURCES AND TECHNICAL SERVICES OFFICERS**

<b>Chief Executive <del>Head of Clwyd Pension Fund</del></b>	
<b>PR11X X01</b>	The day to day management of Clwyd Pension Fund matters including ensuring arrangements for investments of assets and administration of contributions and benefits, excluding matters delegated to the Clwyd Pension Fund Committee.
<b>XX02P R12</b>	Establish and Chair a Clwyd Pension Fund Advisory Panel consisting of officers of the Council and advisors to the Clwyd Pension Fund to provide advice and propose recommendations to the Clwyd Pension Fund Committee and carry out such matters as delegated to it from time to time by the Clwyd Pension Fund Committee.

## SECTION 13

### 13. **RESPONSIBILITY FOR FUNCTIONS**

#### 13.1 **Table 1 – Responsibility for Council Functions**

<b>Committee (Membership)</b>		<b>Non-Executive Functions</b>	<b>Provision of Act or Statutory Instrument</b>	<b>Delegation of functions</b>
<b>H. Clwyd Pension Fund Committee</b>  5 Councillors of Flintshire County Council, 1 Councillor of Wrexham County Borough Council, 1 Councillor of Denbighshire County Council, 1 Representative of the other Scheme Employers (not	1	To carry out the functions of Flintshire County Council as the Scheme Manager and Administering Authority for the Clwyd Pension Fund.	Regulations under Sections 7, 12 or 24 of the Superannuation Act 1972 and the Public Service Pensions Act 2013.	<b>Chief Executive <del>Head of Clwyd Pension Fund</del></b>

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admission bodies) in the Clwyd Pension Fund and1 Representative of the scheme members of the Clwyd Pension Fund.				
<i>The following provision is not a matter relating to the Clwyd Pension Fund Committee and should be moved from part H of this table to Part I. Miscellaneous Functions.</i>				
	21 6	Functions relating to pensions, allowances and gratuities	Regulations under Section 18 (3A) of the Local Government & Housing Act 1989	Chief Executive

## SECTION 30

### FLINTSHIRE COUNTY COUNCIL

#### MEMBERS' SCHEDULE OF REMUNERATION

##### 9. Co-optees' payments

- 9.1 A daily fee (with a provision for half day payments) shall be paid to Co-optees, provided they are statutory Co-optees with voting rights. *In addition a daily fee (which will be paid from the Clwyd Pension Fund) will be paid to the Co-optees who are representatives of other employers and scheme members on the Pension Fund Committee.*
- 9.2 Co-optees' payments will be capped at a maximum of the equivalent of 15 full days a year for each committee to which an individual may be co-opted.
- 9.3 Payments will take into consideration travelling time to and from the place of the meeting, reasonable time for pre meeting preparation and length of meeting (up to the maximum of the daily rate).
- 9.4 The Head of Democratic Services is designated as the "appropriate officer" and will determine preparation time, travelling time and length of meeting, the fee will be paid on the basis of this determination.
- 9.5 The Head of Democratic Services can determine in advance whether a meeting is programmed for a full day and the fee will be paid on the basis of this determination even if the meeting finishes before four hours has elapsed

# Eitem ar gyfer y Rhaglen 5



## CONSTITUTION AND DEMOCRATIC SERVICES COMMITTEE

<b>Date of Meeting</b>	Thursday, 29 September 2022
<b>Report Subject</b>	Review of Procurement Thresholds for Quick Quotes/Single Supplier Quotes
<b>Cabinet Member</b>	Cabinet Member for Finance, Inclusion, Resilient Communities including Social Value and Procurement
<b>Report Author</b>	Chief Officer (Governance)
<b>Type of Report</b>	Operational

### EXECUTIVE SUMMARY

Multiple service areas within the Council are increasingly finding that requirements for goods, services and/or works, which twelve months ago would have been under £10k and requiring only one quote, are now consistently more than this threshold due to the current economic climate, the extraordinary cost of inflation and increased market costs.

Due to increased 'Market costs', the Collaborative Procurement Service led by Denbighshire County Council have received requests from multiple service areas to explore the option to raise the threshold for one quote and use of quick quotes.

This report therefore seeks approval to suspend the current threshold of £10k set within the Contract Procedure Rules (CPRs) to proceed with the purchases of goods, services or works following receipt of one quote demonstrating value for money.

The report also seeks approval to amend the current thresholds from £10k to £20k set within the Contract Procedure Rules (CPRs) to proceed with the purchases of goods, services or works following receipt of one quote and to allow use of the Quick Quotes functionality of the 'Proactis' e-tendering system for quotes up to £20k instead of the current threshold of £10k.

### RECOMMENDATIONS

1	Support the suspension the current £10k threshold and increase this to £20k until a full review of the CPR's are undertaken in line with new legislation.
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2	Allow use of the Quick Quotes functionality of the Proactis e-tendering system for quotes for goods, services or works up to £20k instead of the current threshold of £10k.
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## **REPORT DETAILS**

<b>1.00</b>	<b>EXPLAINING THE REVIEW OF PROCUREMENT THRESHOLDS FOR QUICK QUOTES AND SINGLE SUPPLIER QUOTES</b>
1.01	Contract Procedure Rules (CPRs), currently stipulate that officers purchasing goods or services up to £10k need only obtain one quote which can demonstrate value for money. This could be undertaken by contacting a supplier directly or can be sought via the Quick Quotes functionality of Proactis e-tendering system, set up for price only quotations.
1.02	Where contracts are worth between £10k - £25k for Goods & Services and up to £250k for Works, officers are required to seek four quotes using the Proactis system. The process can still be evaluated based on price alone (to reflect the simple nature of the goods/services/works at this value), but the process is more elaborate and time consuming.
1.03	<p>CPRs were last reviewed in 2016, at and that point in time, the £10k threshold was deemed to be sufficient for general transactional purchases of low amounts.</p> <p>Due to rising costs/inflation rates in the current economic climate, market costs have increased significantly, taking relatively simple, non-technical goods and services requirements over the £10k threshold of the current CPR's, leading to a more involved, time-consuming, and often unnecessary procurement process.</p> <p>This is now having an adverse effect on both the client, evaluators and procurement portfolios.</p>
1.04	During 2021/22 141 Quick Quotes were issued with a total spend of £429k, whereas for 2022/23, 67 Quick Quotes have been issued with a total spend of £157k.
1.05	CPRs themselves are due for a comprehensive review to reflect changes in the procurement regulations and forthcoming legislation from both national governments (currently passing through the Senedd as the Social Partnership and Public Procurement (Wales) Bill and through UK Parliament as the Procurement Bill 2022). Reviewing CPRs is a major undertaking and any amendments to CPRs must be scrutinised by the Governance and Audit Committee, Cabinet, this committee and Full Council as they form part of the Councils constitution.
1.06	We anticipate the new legislation will be passed in the next twelve and therefore the review of the CPRs will also be conducted in the next 12-18 months.

1.07	Suspending the current £10k threshold and increasing this to £20k until the approval and publication of revised CP's in approximately 12 - 18 months' time is a necessary to ensure procurement operations and resources continue to be deployed effectively.
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<b>2.00</b>	<b>RESOURCE IMPLICATIONS</b>
2.01	There are no additional costs or resources required but amending the CPR's thresholds will reduce the internal cost and resources for both the Collaborative Procurement Service and Service area where the procurement is being undertaken if the services could progress with low value contracts up to £20k expediently.
2.02	Amending the thresholds will ensure that the Collaborative Procurement Service as well as the service area in which the procurement is being undertaken continues to be efficient and making best use of resources by significantly reducing the timeline and resource required for simple contracts up to £20k.

<b>3.00</b>	<b>CONSULTATIONS REQUIRED / CARRIED OUT</b>
3.01	The purpose of this report is to consult with Constitution and Democratic Services Committee and to seek approval for minor changes to the thresholds in section 4.1 and 4.2 of the Contract Procedure Rules. This is necessary until a full review of the CPRs are undertaken in line with pending Public Contract Regulations and until new CPRs are approved for use by Cabinet and full Council in approximately 12 months' time.

<b>4.00</b>	<b>RISK MANAGEMENT</b>
4.01	There is no significant difference to the risk associated with these low value contracts apart from the increase in cost due to inflationary prices in the market – the fundamental nature of the goods, services or works being procured is the same.
4.02	Increasing the threshold will allow client departments to continue to be able to progress with low value contracts expediently rather than having to move up to the next value threshold seeking four quotes via Proactis. The procurement timeline for processing goods, services or works of low values will also be reduced, thereby reducing the complexity of the process, reducing the requirement for procurement assessment, and removing the additional procurement evaluators.
4.03	As we pay invoices after the goods/service are delivered or received or works completed, the financial risks to the Council are very low and other procurement policies, including collaboration, will remain in place.

<b>5.00</b>	<b>APPENDICES</b>
5.01	None.

<b>6.00</b>	<b>LIST OF ACCESSIBLE BACKGROUND DOCUMENTS</b>
6.01	<ul style="list-style-type: none"> <li>• Contract Procedure Rules (CPRs) – November 2016</li> <li>• The Procurement Bill – a summary guide to the provisions  <a href="https://www.gov.uk/government/publications/the-procurement-bill-summary-guide-to-the-provisions/the-procurement-bill-a-summary-guide-to-the-provisions">https://www.gov.uk/government/publications/the-procurement-bill-summary-guide-to-the-provisions/the-procurement-bill-a-summary-guide-to-the-provisions</a></li> </ul> <p><b>Contact Officer:</b> David Barnes, Revenues &amp; Procurement Manager  <b>Telephone:</b> 01352 703652  <b>E-mail:</b> david.barnes@flintshire.gov.uk</p>

<b>7.00</b>	<b>GLOSSARY OF TERMS</b>
7.01	<p><b>Procurement</b> is the process by which the Council manages the acquisition of all its goods, services and works of all sorts. It includes the identification of need, consideration of options, the actual procurement process and the subsequent management and review of the contracts</p> <p><b>Contract Procedure Rules</b> ensure that any procurement process for services, goods or works achieves value for money and is transparent, open and fair. These CPRs have the following key objectives:</p> <ul style="list-style-type: none"> <li>• To ensure that the Council obtains value for money and achieves its duty of achieving best value as defined in section 3 of the Local Government Act 1999;</li> <li>• To ensure that the Council complies with UK law that governs the procurement of goods, services and works;</li> <li>• To establish procurement procedures which, when followed, should protect members and officers of the Council from any allegation of acting unfairly or unlawfully which may be made in connection with any procurement by the Council relating to goods, services or works;</li> <li>• To ensure that any risks associated with commencing procurement processes and subsequently entering into contracts are assessed as part of the procurement process; and</li> <li>• To ensure that fairness and transparency remains at the forefront of all procurement activity undertaken by officers and approved by members on behalf of the Council.</li> </ul>

# Eitem ar gyfer y Rhaglen 6



## CONSTITUTION & DEMOCRATIC SERVICES COMMITTEE

<b>Date of Meeting</b>	Thursday 29 <sup>th</sup> September, 2022
<b>Report Subject</b>	Overview & Scrutiny Terms of Reference
<b>Report Author</b>	Head of Democratic Services
<b>Type of Report</b>	Operational

### EXECUTIVE SUMMARY

The Council carried out a Committee review during 2019/20 which resulted in amendments to the Overview & Scrutiny Committee Structure. At the Annual Meeting of Council in 2020, these changes were confirmed, and this resulted in a reduction in the number of Committees to five, each comprising 12 elected Members. These are:

- Community, Housing & Assets;
- Corporate Resources;
- Education, Youth & Culture;
- Environment & Economy;
- Social & Health Care.

Since the 2019/20 review there have been a number of changes to Portfolio structures resulting in changes to service area titles. It was felt that a review of the Overview & Scrutiny Committee terms of reference should be carried out to ensure that they were up to date and better aligned to Portfolio service areas.

Proposed changes to the terms of reference are shown at Appendix 2.

### RECOMMENDATIONS

1	That the Committee recommend to Council the proposed amendments to the Terms of Reference for each of the Overview & Scrutiny Committees
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## **REPORT DETAILS**

<b>1.00</b>	<b>THE COMMITTEE'S TERMS OF REFERENCE.</b>
1.01	<p>The new Overview &amp; Scrutiny Committee Structure was approved by Council on 27<sup>th</sup> February 2020. At the Annual Meeting on 9<sup>th</sup> September these changes were confirmed, and this resulted in a reduction in the number of Committees to five.</p> <p>The five Overview &amp; Scrutiny committees are now:</p> <ul style="list-style-type: none"><li>• Community, Housing &amp; Assets;</li><li>• Corporate Resources;</li><li>• Education, Youth &amp; Culture;</li><li>• Environment &amp; Economy;</li><li>• Social &amp; Healthcare.</li></ul>
1.02	<p>Since the 2019/20 review there have been a number of changes to service areas within Portfolio's resulting in changes to service area titles. It was felt that a review of the Overview &amp; Scrutiny Committee terms of reference should be carried out to ensure that they were up to date and better aligned to Portfolio service areas.</p> <p>The titles of contributors to each of the Overview &amp; Scrutiny Committees also needed to be amended to reflect the new Senior Officer and Cabinet Member titles.</p>
1.03	<p>A copy of the current terms of reference for each of the Overview &amp; Scrutiny Committees is shown at Appendix 1, with the new proposed terms of reference shown at Appendix 2. This is to allow the Committee to easily identify the suggested changes.</p> <p>Amendments are shown in red text at Appendix 2.</p>
1.04	<p>The new proposed terms of reference were considered by each of the Overview &amp; Scrutiny Committees at their meetings in July 2022, where specific changes were presented.</p> <p>In response to questions around matters that fell within the remit of one or more Overview and Scrutiny Committee, Members were advised that the decision as to which Overview and Scrutiny Committee will consider it will be resolved by the Constitution &amp; Democratic services Committee, but that it would be possible for Members of both Committees to take part in consideration of a relevant report.</p> <p>Following consideration of the report, each Overview &amp; Scrutiny Committee supported the proposed amendments to its terms of reference.</p>
<b>2.00</b>	<b>RESOURCE IMPLICATIONS</b>
2.01	Not applicable.



<b>3.00</b>	<b>IMPACT ASSESSMENT AND RISK MANAGEMENT</b>
3.01	Not applicable.

<b>4.00</b>	<b>CONSULTATIONS REQUIRED/CARRIED OUT</b>
4.01	The proposed amendments to the terms of reference were considered by each of the Overview & Scrutiny Committees during the July cycle of meetings.

<b>5.00</b>	<b>APPENDICES</b>
5.01	Appendix 1 – Current Overview & Scrutiny Committee Terms of Reference. Appendix 2 – Revised Overview & Scrutiny Committee Terms of Reference.

<b>6.00</b>	<b>LIST OF ACCESSIBLE BACKGROUND DOCUMENTS</b>
6.01	Report to the Constitution & Democratic Services Committee – 22 <sup>nd</sup> January 2020 and resultant minute.  Report to Council 27 <sup>th</sup> February 2020 and resultant minute.

<b>7.00</b>	<b>CONTACT OFFICER DETAILS</b>
7.01	<b>Contact Officer:</b> Steven Goodrum, Democratic Services Manager <b>Telephone:</b> 01352 702320 <b>E-mail:</b> <a href="mailto:steven.goodrum@flintshire.gov.uk">steven.goodrum@flintshire.gov.uk</a>

<b>8.00</b>	<b>GLOSSARY OF TERMS</b>
8.01	No technical terms have been used.

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### **Appendix 1: Overview & Scrutiny Committee Terms of Reference 2020/21**

Red text indicates a function previously within the remit of the former Organisational Change Overview & Scrutiny Committee.

Green text indicates functions transferred to the new Environment & Economy Overview & Scrutiny Committee from the former Community & Enterprise Overview & Scrutiny Committee. Some functions were previously the joint responsibilities of two committees.

<b>O&amp;S Committee</b>	<b>Scope:</b> To fulfil all of the functions of an Overview & Scrutiny committee, including Performance, Improvement and Policy Development as they relate to the following:	<b>Main Contributors</b>
<b>Corporate Resources</b>	<b>Corporate Management and Governance</b> Council strategic and improvement planning (Council Plan)	Leader of the Council; Corporate Management & Assets; Finance. Chief Executive; CO (Governance) Corporate Finance Manager Senior Manager (HR & OD)
12 Elected Members	Council performance and performance systems	
Designated 'crime & disorder scrutiny' committee	Customer Services and contact	
	<b>Finance Strategy</b>	
	Revenue and capital strategic planning	
	Revenue and capital budget monitoring	
	<b>Clwyd Pension Fund</b>	
	<b>ICT and Digital Strategies</b>	
	<b>People Strategy</b>	
	Organisational Design & Change Programme	
	<b>Corporate Services</b>	
	Corporate Communications	
	Financial services	
	ICT Services	
	Information and Business Services	
	Procurement	
	HR Business Partnering	
	Occupational Health and Wellbeing	
	Employment Services	
	Legal Services	
	Democratic Services	
	Revenues	
	<b>Strategic and Partnership Working</b>	
	Partnership and collaborative working frameworks	
	Public Service Board	
	Civil Contingencies	
	Emergency Planning	

	<b>Crime and Disorder</b> Community Safety Partnership North Wales Fire & Rescue Authority & Service North Wales Police & Crime Commissioner North Wales Police Service North Wales Probation Service	
<b>Education,</b>	School organisation and management	Leader /Education &
<b>Youth &amp;</b>	School Improvement and modernisation	Youth
<b>Culture</b>	School Access, planning and provision	Chief Executive
	Primary and Early years	CO (Education &
12 Elected	Secondary and 14-19 education	Youth)
Members and	Schools Performance Monitoring	
five co-opted	<b>Continuing Education</b>	
members	Adult and community learning	
representing	<b>Special Education</b>	
parent	Inclusion service	
governors and	<b>Support to Families and Young People</b>	
diocesan	Families First	
authorities.	Youth Services	
	Youth Justice Service	
	Libraries, Culture and Heritage including	
	archives and museums	
	Leisure Services, including leisure and	
	sports centres, swimming pools and	
	recreational facilities/activities	
	<b>Strategic and Partnership Working</b>	
	Theatr Clwyd	
	Aura	
	Holywell Leisure Centre	
	Cambrian Aquatics	
	Children and Young People's Partnership	
	(shared responsibility with the Social &	
	Health Care Overview & Scrutiny	
	Committee)	
	Coleg Cambria	
	Glyndwr University	
	GwE	
	Welsh Government Department for	
	Education	
	Estyn	

<b>Social &amp; Health Care</b>	<b>Adult Services</b>	Cabinet Member for Social Services.
	First contact and localities	
	Adult safeguarding	CO (Social Services)
12 Elected Members	Adult Independence and support services	
	<b>Children's Services</b>	
	Fieldwork	
	Resources	
	Safeguarding	
	Early Years and Family support	
	<b>Disability, Progression and Recovery Services</b>	
	<b>Strategic, Commissioning &amp; Partnership Working</b>	
	Children and Young People's Partnership (jointly with the Education & Youth Overview & Scrutiny Committee)	
	Social & Health Care Strategy Development	
	Health Social Care and Well-being partnership and the Good Health Good Care Strategy	
	Dementia Commissioning Plan	
	Mental Health Commissioning Plan	
	Learning Disability Commissioning Plan	
	Double Click	
	Home Farm Trust (HFT)	
	Hwb Cyfle	
	Betsi Cadwaladr University Health Board (BCUHB)	
	Ambulance Trust	
	Community Health Council.	
<b>Environment &amp; Economy</b>	<b>Planning</b>	Cabinet Members for Planning & Public Protection and Streetscene & Countryside
	Planning and environmental strategy, Development management and control, Conservation,	Chief Executive
12 Elected Members	Minerals and waste planning, Countryside and the environment	CO (Planning, Environment and Economy)
	Greenfield Valley Heritage Park	CO (Streetscene & Transportation)
	Public rights of way	
	Drainage advisory/Flood Water Management Act	
	Energy Services	
	<b>Public Protection</b>	
	Community protection	
	Health protection	
	Environmental protection	

	<p>Bereavement services</p> <p><b>Streetscene Services</b></p> <p>Environmental and Waste Management</p> <p>Neighbourhood services</p> <p>Maintenance of the public realm</p> <p>Environmental enforcement</p> <p>Vehicle fleet</p> <p><b>Transportation</b></p> <p>Highway Strategy and Development Control</p> <p>Traffic Services</p> <p>Transport Services</p> <p>Road Safety Education, Training and Publicity</p> <p>Performance and Improvement Plan</p> <p>Monitoring and Policy and Performance development within the Streetscene and Transportation and Planning, Environment and Economy portfolios</p> <p><b>Strategic and Partnership Working</b></p> <p>Local Development Plan</p> <p>Flood Management Strategy</p> <p>North Wales Residual Waste Treatment Partnership</p> <p>Natural Resources Wales</p> <p>Planning Inspectorate Wales</p> <p><b>Regeneration</b></p> <p>Communities First,</p> <p>Economic Development and Tourism Enterprise</p> <p>Regeneration Partnership</p> <p>Rural Development Plan</p> <p>Visit Wales</p>	
<b>Community, Housing &amp; Assets</b>	<b>Community Liaison</b>	Cabinet Members for Corporate Management & Assets and Housing &
	The County Forum and the Joint Community Charter with Town and Community Councils	Chief executive
12 Elected Members	Flintshire Local Voluntary Council	CO (Housing & Assets)
	<b>Community services</b>	
	Community support services	
	Welfare reform	
	<b>Public Housing</b>	
	Housing Strategy	
	Neighbourhood Housing	
	Housing Asset management	
	<b>Private Housing</b> renewal	

	<p><b>Benefits</b> Property and Design Consultancy Valuation and Estates Facilities Services Community Assets</p> <p><b>Strategic and Partnership Working</b> Community Asset Transfer Programme Housing Strategy Housing Asset Management Strategy NEWYDD NEW Homes Limited Housing Revenue Account Business Plan Registered Social Landlords</p>	
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**Overview & Scrutiny Committee Terms of Reference**

<b>O&amp;S Committee</b>	<b>Scope:</b> To fulfil all the functions of an Overview & Scrutiny committee, including Performance, Improvement and Policy Development as they relate to the following:	<b>Main Contributors</b>
<p><b>Corporate Resources</b></p> <p>12 Elected Members</p> <p>Designated 'crime &amp; disorder scrutiny' committee</p>	<p><b>Corporate Management and Governance</b>  Council strategic and improvement planning (Council Plan)  Council performance and performance systems  Customer Services and contact  Finance Strategy  Revenue and capital strategic planning Revenue and capital budget monitoring</p> <p><b>Clwyd Pension Fund</b></p> <p><b>ICT and Digital Strategies</b></p> <p><b>People Strategy</b>  Organisational Design &amp; Change Programme</p> <p><b>Corporate Services</b>  Corporate Communications  Financial services  ICT Services  Information and Business Services Procurement  HR Business Partnering  Occupational Health and Wellbeing Employment Services  Legal Services  Democratic Services  Revenues</p> <p><b>Strategic and Partnership Working</b> Partnership and collaborative working frameworks  Public Service Board  Civil Contingencies  Emergency Planning</p> <p><b>Crime and Disorder</b>  Community Safety Partnership  North Wales Fire &amp; Rescue Authority &amp; Service  North Wales Police &amp; Crime Commissioner North Wales Police Service</p>	<p>Leader of the Council and Cabinet Member for Education, Welsh Language, Culture and Leisure</p> <p>Cabinet Member for Finance, Inclusion, Resilient Communities including Social Value and Procurement</p> <p>Cabinet Member for Governance and Corporate Services including Health and Safety and Human Resources</p> <p>Chief Executive</p> <p>Chief Officer (Governance)</p> <p>Corporate Finance Manager</p>

	<p>North Wales Probation Service</p> <p><b>Capital Programme and Assets</b>  Corporate Property Maintenance Service  Property and Design Consultancy  Valuation and Estates Service  Community Assets</p> <p>Community Asset Transfer Programme  NEWYDD</p>	
<p><b>Education, Youth &amp; Culture</b></p> <p>12 Elected Members and five co-opted members representing parent governors and diocesan authorities.</p>	<p>School estate including capital investment programmes and school organization  School Access including admissions and school transport policy  School Improvement and modernisation  School Access, planning and provision  Early years Education  Primary Education  Secondary and 14-19 education and Post 16 provision  Schools Performance Monitoring  Welsh in Education  Service Children in Education  Outdoor Education  School Governance  Safeguarding</p> <p><b>Continuing Education</b>  Adult and community learning</p> <p><b>Special Education</b>  Inclusion &amp; Progression service</p> <p><b>Support to Families and Young People</b>  Families First  Youth Services  Youth Justice Service  Libraries, Culture and Heritage including archives and museums  Leisure Services, including leisure and sports centres, swimming pools and recreational facilities/activities</p> <p><b>Strategic and Partnership Working</b>  Theatr Clwyd</p>	<p>Leader of the Council and Cabinet Member for Education, Welsh Language, Culture and Leisure</p> <p>Deputy Leader of the Council and Cabinet Member for Social Services and Wellbeing</p> <p>Chief Executive</p> <p>Chief Officer (Education &amp; Youth)</p> <p>Chief Officer (Social Services)</p>

	<p>Aura  Holywell Leisure Centre  Cambrian Aquatics  Children and Young People's Partnership  (shared responsibility with the Social &amp;  Health Care Overview &amp; Scrutiny  Committee)  Coleg Cambria  Glyndwr University  GwE  Welsh Government Department for  Education  Estyn</p>	
<p><b>Social &amp; Health  Care</b></p> <p>12 Elected  Members</p>	<p><b>Adult Services</b>  First contact and localities  Adult safeguarding  Adult Independence and support services</p> <p><b>Children's Services</b>  Fieldwork  Resources  Safeguarding  Early Years and Family support</p> <p><b>Disability, Progression and Recovery  Services</b></p> <p><b>Strategic, Commissioning &amp; Partnership  Working</b>  Children and Young People's Partnership (jointly  with the Education &amp; Youth Overview &amp; Scrutiny  Committee)  Social &amp; Health Care Strategy Development  Health Social Care and Well-being partnership  and the Good Health Good Care Strategy  Dementia Commissioning Plan  Mental Health Commissioning Plan Learning  Disability Commissioning Plan Double Click  Home Farm Trust (HFT)  Hwb Cyfle  Betsi Cadwaladr University Health Board  (BCUHB)  Ambulance Trust  Community Health Council</p>	<p>Deputy Leader of the  Council and Cabinet  Member for Social  Services and Wellbeing</p> <p>Leader of the Council  and Cabinet Member  for Education, Welsh  Language, Culture and  Leisure</p> <p>Chief Officer (Social  Services)</p> <p>Chief Officer  (Education &amp; Youth)</p>

<p><b>Environment &amp; Economy</b></p> <p>12 Elected Members</p>	<p><b>Planning</b>          Planning and environmental strategy,          Development management and control,          Conservation,          Minerals and waste planning, Countryside and the environment Greenfield Valley Heritage Park          Public rights of way          Drainage advisory/Flood Water Management Act          Energy Services</p> <p><b>Community and Business Protection</b>          Food safety and food standards          Licensing and pest control          Health and Safety and Environmental Control          Housing Standards and Pollution Control          Trading Standards          Animal Health          Violence against Women, Domestic Abuse and Sexual Violence</p> <p><b>Streetscene Services</b>          Waste Strategy &amp; Recycling          Winter Maintenance &amp; Adverse Weather Policy          Public open space management          Town Centre &amp; Street Cleansing          Grass Cutting &amp; Grounds Maintenance          Highway Inspections &amp; Maintenance          Public Conveniences (Local Toilets Strategy)          Environmental &amp; Civil Parking Enforcement          Street Lighting          Fleet Services          Ultra-Low Emission Vehicle (ULEV) Strategy          Bereavement Services &amp; cemetery management          Car Parking Strategy</p> <p><b>Transportation</b>          Transport Planning &amp; Highway Strategy          Traffic Services          Active Travel          Integrated Transport Services (school transport, post-16 transport, local bus services, community transport, rail etc.)          Road Safety Education, Training and Publicity          North Wales Metro Programme / Regional Transport Plan</p>	<p>Deputy Leader of the Council and Cabinet Member for Streetscene and the Regional Transport Strategy</p> <p>Cabinet Member for Planning, Public Health and Public Protection</p> <p>Cabinet Member for Climate Change and Economy</p> <p>Cabinet Member for Housing and Regeneration</p> <p>Chief Executive</p> <p>Chief Officer (Planning, Environment and Economy)</p> <p>Chief Officer (Streetscene and Transportation)</p>
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	<p>Performance and Improvement Plan Monitoring and Policy and Performance development within the Streetscene and Transportation and Planning, Environment and Economy portfolios</p> <p><b>Strategic and Partnership Working</b>  Flood Management Strategy  North Wales Residual Waste Treatment Partnership (<b>Parc Adfer</b>)  Natural Resources Wales  Planning Inspectorate Wales  Planning and Environmental Decisions Wales (PEDW)<b>Ambition North Wales / North Wales Growth Deal</b>  <b>Corporate Joint Committees (CJCs)</b>  <b>Strategic Development Plan</b>  <b>Regional Transport Plan</b>  <b>Transport for Wales (TfW)</b>  <b>Mersey Dee Alliance (MDA)</b></p> <p><b>Enterprise and Regeneration</b>  Economic growth and Business Development  Tourism  Social Enterprise  Markets  Regeneration  Employability  Domestic energy  Digital connectivity</p>	
<p><b>Community &amp; Housing</b></p> <p>12 Elected Members</p>	<p><b>Community Liaison</b>  The County Forum and the Joint Community Charter with Town and Community Councils  Flintshire Local Voluntary Council</p> <p><b>Housing &amp; Prevention Services</b>  Homelessness  Housing Advice and Common Housing Register  Neighborhood Housing  Housing Asset management  Housing Support Grant</p> <p><b>Housing Asset Management</b>  Revenue and Capital Investment to Council housing stock and related assets.  Repairs &amp; Maintenance Service.</p>	<p>Cabinet Member for Housing and Regeneration</p> <p>Cabinet Member for Governance and Corporate Services including Health and Safety and Human Resources</p> <p>Chief Officer (Housing and Communities)</p>

	<p>Empty Property Refurbishment.</p> <p><b>Housing Management &amp; Benefit Service</b> Benefits and Grants Assessment Community Based Accommodation Support Service (CBASS) Disabled Facilities Grant Gypsy and Traveller Services Housing Management Welfare Reform</p> <p><b>Housing Development</b> Housing Programmes Housing Strategy NEW Homes Limited</p> <p><b>Strategic and Partnership Working</b> Housing Revenue Account Business Plan Registered Social Landlords</p>	
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